## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WANG et al. S/N: To be assigned

Filed: Contemporaneous Herewith

Entitled: Improved Acid Copper Electroplating Solutions

Group No.: To be assigned Examiner: To be assigned Atty. Dkt.: 99A209-DIV

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Transmitted herewith is an Information Disclosure Statement ("IDS") in the above-referenced Application, together with Forms PTO/SB/08A and PTO/SB/08B which list all references cited.

This IDS is being mailed within three (3) months of filing of the above-captioned Application, if it is a National Application, or within three (3) months of entering, as set forth in 37 C.F.R. § 1.491, the national stage of the above-captioned Application, if the above-captioned Application is an International Application. Therefore, consideration of the IDS by the Patent and Trademark Office, without the payment of any additional fee, is believed to be due under 37 C.F.R. § 1.97(b). However, the Commissioner is hereby authorized to charge Deposit Account No. 02-2865 if any fee under 37 C.F.R. 1.17 is deemed necessary for the accompanying references to be considered by the Patent and Trademark Office.

Respectfully submitted,

Date: Anil 14, 200 4
The BOC Group, Inc.

Legal Services - Intellectual Property

575 Mountain Ave. Murray Hill, NJ 07974 (908) 771-6469 Ira Lee Zebrak

Attorney for Applicant(s)

Lac Ward

Reg. No. 31,147

EXPRESS MAIL MAILING LABEL:

EU071710944US

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to	respond to a collection of inform	ation unless it contains a valid OMB of	control number
Substitute for form 1449/PTO		omplete if Known	
Substitute for form 1445/1 10	Application Number		
INFORMATION DISCLOSURE	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	WANG et al.	
STATEMENT BY APPLICANT	Art Unit		

(Use as many sheets as necessary)

Sheet 1 of 4 Attorney Docket Number 99A209-DIV

U. S. PATENT DOCUMENTS

Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1	<sup>US-</sup> 3 267 010	08-16-1966	Creutz et al.	
2	<sup>US-</sup> 3 328 273	06-27-1967	Creutz et al.	
3	<sup>US-</sup> 3 615 736	10-26-1971	Stone	
4	<sup>US-</sup> 3 715 289	02-06-1973	Cope, Jr.	
5	<sup>US-</sup> 4 004 956	01-25-1977	Brindisi, Jr.	
6	<sup>US-</sup> 4 038 161	07-26-1977	Eckles et al.	
7	<sup>US-</sup> 4 134 803	01-16-1979	Eckles et al.	
8	<sup>US-</sup> 4 304 646	12-08-1981	Courduvelis	
9	<sup>US-</sup> 4 324 623	04-13-1982	Schaer	
10	<sup>US-</sup> 4 376 685	03-15-1983	Watson	
11	<sup>US-</sup> 4 430 173	02-07-1984	Boudot et al.	
12	<sup>US-</sup> 4 490 220	12-25-1984	Houman	
13	<sup>US-</sup> 4 555 315	11-26-1985	Barbieri et al.	
14	us- 4 808 481	02-28-1989	Luxon	
15	us- 4 717 439	01-05-1988	Hajdu et al.	
16	US- 4 786 746	11-22-1988	Miljkovic	
17	<sup>US-</sup> 4 897 165	01-30-1990	Bernards et al.	
18	<sup>US-</sup> 4 948 474	08-14-1990	Miljkovic	
19	<sup>US-</sup> 4 954 226	09-04-1990	Mahmoud	
	No.'  1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	No.¹  Number-Kind Code² (f known)  1	Cite No.¹         Document Number         Publication Date MM-DD-YYYY           1         US- 3 267 010         08-16-1966           2         US- 3 328 273         06-27-1967           3         US- 3 615 736         10-26-1971           4         US- 3 715 289         02-06-1973           5         US- 4 004 956         01-25-1977           6         US- 4 038 161         07-26-1977           7         US- 4 134 803         01-16-1979           8         US- 4 304 646         12-08-1981           9         US- 4 376 685         03-15-1983           11         US- 4 376 685         03-15-1983           11         US- 4 490 220         12-25-1984           12         US- 4 555 315         11-26-1985           14         US- 4 808 481         02-28-1989           15         US- 4 717 439         01-05-1988           16         US- 4 786 746         11-22-1988           17         US- 4 948 474         08-14-1990	No.1   Number-Kind Code <sup>2 of known)                                   </sup>

			IGN PATENT DOCU			
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
						L
						L
						Γ
		-				٣

Examiner	Date	
Signature	Considered	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. There office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known		
Substitute for form 1443/FTO	Application Number		
INCODMATION DISCLOSURE	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	WANG et al.	
STATEMENT BY APPLICANT	Art Unit		
(Use as many sheets as necessary)	Examiner Name		
	Attorney Docket Number	994209-DIV	

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	20	<sup>US-</sup> 5 051 154	09-24-1991	Bernards et al.	
	21	<sup>US-</sup> 5 147 905	09-15-1992	Dubois et al.	
	22	<sup>US-</sup> 5 151 170	09-29-1992	Montgomery et al.	
	23	<sup>US-</sup> 5 174 886	12-29-1992	King et al.	
	24	<sup>US-</sup> 5 215 645	06-01-1993	DiFranco et al.	
	25	<sup>US-</sup> 5 266 212	11-30-1993	Szotek	
	26	<sup>US-</sup> 5 328 589	07-12-1994	Martin	
	27	<sup>US-</sup> 5 403 465	04-04-1995	Apperson et al.	
	28	<sup>US-</sup> 5 433 840	07-18-1995	Dahms et al.	
	29	<sup>US-</sup> 5 630 950	05-20-1997	Cangelosi	
	30	<sup>US-</sup> 5 730 854	03-24-1998	Martin	
	31	<sup>US-</sup> 5 733 429	03-31-1998	Martin et al.	
	32	<sup>US-</sup> 5 750 018	05-12-1998	Brasch	
	33	<sup>US-</sup> 5 849 171	12-15-1998	Dahms et al.	
	34	us- 5 863 410	01-26-1999	Yates et al.	
	35	us- 6 261 433	07-17-2001	Landau	
,		US-			
		US-			
	<u> </u>	US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	<b>⊤</b> 6			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Of Relevant Figures Appear	H			
						F			
						┢			
						H			

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. There of the Emperor must precede the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC
Under the Panerwork Reduction Act of 1995	no persons are required to respond to a collection of information unless it contains a valid OMB control number

	form 1449/PTO				Complete if Known	
Substitute to	10III 1449/F10			Application Number		
INFOF	MATION	DIS	CLOSURE	Filing Date		
STATI	EMENT B	Y A	PPLICANT	First Named Inventor	WANG et al.	
				Art Unit		
(	Use as many she	ets as n	ecessary)	Examiner Name		
Sheet 3		of	4	Attorney Docket Number	99A209-DIV	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	36	"Copper Electroplating for Advanced Interconnect Technology," R.D. Mikkola, QT. Jiang & B. Carpenter, PLATING & SURFACE FINISHING, March 2000, pp. 81-85	
	37	"Leveling of 200 nm Features by Organic Additives," James J. Kelly and Alan C. West, ELECTROCHEMICAL AND SOLID-STATE LETTERS, 2 (11) (1999), LETTERS ONLINE, Kell1-Kell3	
	38	"Polyethylene Glycol in Copper Electrodeposition onto a Rotating Disk Electrode," M.R.H. Hill and G.T. Rogers, J. ELECTROANAL. CHEM., 86 (1978) 179-188	
	39	"Studies of Copper Deposition for High Aspect Ratio Printed Circuit Boards," M. Goodenough and K.J. Whitlaw, M. Goodenough and K.J. Whitlaw Trans. Inst. 1989, 67, 57,	
		pp. 57-62	
	40	"Effects of Polyethylene Glycol on the Electrochemical Characteristics of Copper Cathodes in an Acid Copper Medium," J.D. Reid and A.P.David, PLATING AND SURFACE FINISHING,	
		January 87, pp. 66-70	
	41	"Damascene Copper Electroplating for Chip Interconnections," by P.C. Andricacos, C. Uzoh, J.O. Dukovic, J. Horkans, H. Deligianni, IBM J. RES. DEVELOP., Vol. 42, No. 5,	
		September 1998	
	42	"Additive Components: Overall Adsorption Behavior," presented by J. Reid, Novellus Systems, UC Berkeley Extension, June 8-10, 1998	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** Filing Date

INFORMATION DISCLOSURE STATEMENT BY APPLICANT **First Named Inventor** WANG et al. Art Unit (Use as many sheets as necessary) **Examiner Name Attorney Docket Number** Sheet 99A209-DIV 4 of

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
	43	European Search Report for Application No. 00305941.7 dated November 5, 2003			
-					

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.